

DIFFUSION DEPENDENCE OF THE FITZHUGH-NAGUMO EQUATIONS

BY

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ABSTRACT. We investigate the behavior of the solutions of

$$\begin{aligned}u_t &= u_{xx} - \alpha u - v + f(u), \\v_t &= \eta v_{xx} + \sigma u - \gamma v,\end{aligned}$$

as η tends to zero from above.

1. Introduction. We consider the system of equations

$$(1.1) \quad \begin{cases} u_t = u_{xx} - \alpha u - v + f(u), \\ v_t = \eta v_{xx} + \sigma u - \gamma v, \end{cases}$$

where $(x, t) \in (0, L) \times (0, \infty)$, the parameters $(\eta, L) \in [0, \eta_0] \times (0, \infty]$, and $f(u) = u^2(1 + \alpha - u)$. For $\eta > 0$ and $L < \infty$, we adopt the initial-boundary values

$$(1.2) \quad u(0, t) = g(t), \quad v(0, t) = h(t),$$

$$(1.3) \quad u(x, 0) = u_0(x), \quad v(x, 0) = v_0(x),$$

$$(1.4) \quad u_x(L, t) = v_x(L, t) = 0.$$

When $L = \infty$ or $\eta = 0$, we omit (1.4). We refer to the equations (1.1)–(1.4) or (1.1)–(1.3) as the FitzHugh-Nagumo system, abbreviated FN. When f, g and h are replaced by zero, the resulting equations are the homogeneous linearized FitzHugh-Nagumo system, or HLFN.

Questions of existence, uniqueness, and boundedness of solutions of FN are considered in [1, 5, 6]. In [1 or 2] we considered the convergence of solutions of FN for $L < \infty$ to the solution for $L = \infty$ as $L \rightarrow \infty$. The present paper addresses the convergence of solutions of FN for $\eta > 0$ to the solution for $\eta = 0$ as $\eta \downarrow 0$. We consider both $L = \infty$ and $L < \infty$.

As in [2] we construct resolvents for HLFN, then show resolvent consistency, which is equivalent to strong convergence of linear semigroups. We pose the versions of FN as operator equations involving the generators, then switch to integral equations. The convergence of linear semigroups, together with Gronwall's inequality, yields the convergence of solutions of FN.

In the case of length dependence, exponential decay rates were found. In the diffusion dependence case, decay rates will be discussed, but the results are not as strong.

Received by the editors January 24, 1983. Portions of this paper were presented at the 89th Annual Meeting of the AMS on January 7, 1983, in Denver, Colorado.

1980 *Mathematics Subject Classification*. Primary 35B30, 35K55; Secondary 35C15.

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0002-9947/83 \$1.00 + \$.25 per page

2. Notation and technical results. First we introduce the common terms of the paper. For further explanations, see [2]. Let s be the Laplace transform variable corresponding to the variable t . Assume $\operatorname{Re}(s) > 0$. Let

$$M(s) = \begin{bmatrix} 1 & -(s + \gamma)^{-1} \\ \sigma(s + \gamma)^{-1} & -\sigma(s + \gamma)^{-2} \end{bmatrix},$$

$$B = \begin{bmatrix} -\gamma & -1 \\ \sigma & -\gamma \end{bmatrix}, \quad D = \begin{bmatrix} 1 & 0 \\ 0 & \eta \end{bmatrix},$$

$$U = (u, v)^T, \quad G(t) = (g(t), h(t))^T, \quad F(U) = (f_1(U), f_2(U))^T.$$

Let $a = s + \alpha$, $b = \sigma\eta^{-1}$ and $c = \eta^{-1}(s + \gamma)$. Define

$$\lambda_{\pm}^2 = \frac{1}{2} \left[a + c \pm \sqrt{(a - c)^2 - 4b} \right].$$

Let λ_1 be the principal square root of λ_+^2 ; λ_3 that of (λ_-^2) . Let

$$V_1 = \lambda_1^{-1}(\lambda_1^2 - \lambda_3^2)^{-2} D^{-1} \begin{bmatrix} b - (\lambda_3^2 - a)^2 & -(\lambda_1^2 - \lambda_3^2) \\ [b - (\lambda_3^2 - a)^2](\lambda_1^2 - a) & -(\lambda_1^2 - a)(\lambda_1^2 - \lambda_3^2) \end{bmatrix},$$

$$V_3 = \lambda_3^{-1}(\lambda_1^2 - \lambda_3^2)^{-2} D^{-1} \begin{bmatrix} b - (\lambda_1^2 - a)^2 & \lambda_1^2 - \lambda_3^2 \\ [b - (\lambda_1^2 - a)^2](\lambda_3^2 - a) & (\lambda_3^2 - a)(\lambda_1^2 - \lambda_3^2) \end{bmatrix}.$$

For $L < \infty$, define for either $i = 1$ or $i = 3$,

$$k_i^\eta(x, y, s) = \begin{cases} \sinh(\lambda_i x) \cosh(\lambda_i(L - y)) [\cosh(\lambda_i L)]^{-1}, & x < y, \\ \sinh(\lambda_i y) \cosh(\lambda_i(L - x)) [\cosh(\lambda_i L)]^{-1}, & y < x. \end{cases}$$

Let $f(s) = a + \sigma\eta c = s + \alpha + \sigma(s + \gamma)^{-1}$. Define

$$k(x, y, s) = \begin{cases} \sinh(x\sqrt{f(s)}) \cosh((L - y)\sqrt{f(s)}) [\cosh(L\sqrt{f(s)})]^{-1}, & x < y, \\ \sinh(y\sqrt{f(s)}) \cosh((L - x)\sqrt{f(s)}) [\cosh(L\sqrt{f(s)})]^{-1}, & y < x. \end{cases}$$

For $L = \infty$, define for $\eta > 0$ and either $i = 1$ or $i = 3$,

$$j_i^\eta(x, y, s) = \begin{cases} \sinh(\lambda_i x) e^{-\lambda_i y}, & x < y, \\ \sinh(\lambda_i y) e^{-\lambda_i x}, & y < x. \end{cases}$$

For $\eta = 0$, $L = \infty$, define

$$j(x, y, s) = \begin{cases} \sinh(x\sqrt{f(s)}) e^{-y\sqrt{f(s)}}, & x < y, \\ \sinh(y\sqrt{f(s)}) e^{-x\sqrt{f(s)}}, & y < x. \end{cases}$$

Further, let

$$J^\eta(x, y, s) = j_1^\eta(x, y, s)V_1 + j_3^\eta(x, y, s)V_3,$$

$$J(x, y, s) = f(s)^{-1/2} J(x, y, s)M(s),$$

$$G^\eta(x, y, s) = k_1^\eta(x, y, s)V_1 + k_3^\eta(x, y, s)V_3,$$

$$G_1(x, y, s) = f(s)^{-1/2}k(x, y, s)M(s).$$

Applying the Laplace transform to HLFN and following by rearrangement, we obtain, for $\eta > 0$,

$$(2.1) \quad \begin{cases} \bar{u}_{xx} = a\bar{u} + \bar{v} - u_0, \\ \bar{v}_{xx} = -b\bar{u} + c\bar{v} - v_0. \end{cases}$$

For $\eta = 0$, transformation and rearrangement yields

$$(2.2) \quad \begin{cases} \bar{u}_{xx} = a\bar{u} + \bar{v} - u_0, \\ 0 = -\sigma\bar{u} + (s + \gamma)\bar{v} - v_0. \end{cases}$$

Solving (2.1) yields the functions $\lambda_1(s)$ and $\lambda_3(s)$. When $L = \infty$, the solution of (2.1) is given by

$$(2.3) \quad \bar{U}^\eta(x, s) = \int_0^\infty J^\eta(x, y, s)U_0(y) dy.$$

For $L < \infty$, the solution of (2.1) is given by

$$(2.4) \quad \bar{U}_L^\eta(x, s) = \int_0^L G^\eta(x, y, s)U_0(y) dy.$$

The solution of (2.2) for $L = \infty$ is given by

$$(2.5) \quad \bar{U}(x, s) = \int_0^\infty J(x, y, s)U_0(y) dy + (0, v_0(x)(s + \gamma)^{-1})^T,$$

whereas for $L < \infty$, the solution of (2.2) is given by

$$(2.6) \quad \bar{U}_L(x, s) = \int_0^L G(x, y, s)\bar{U}_0(y) dy + (0, v_0(x)(s + \gamma)^{-1})^T.$$

In [2], we showed that $\bar{U}_L^\eta \rightarrow \bar{U}^\eta$ in $C^0(0, \xi L; R^2)$ for $\xi \in (0, 1)$. That is, the resolvents converged strongly. In the present paper, we show that $\bar{U}^\eta \rightarrow \bar{U}$ in $C^0(0, \infty; R^2)$ and $\bar{U}_L^\eta \rightarrow \bar{U}_L$ in $C^0(0, L; R^2)$. To do this, we need some technical results. We list some from [1]:

- (i) $\operatorname{Re}(\lambda_1) \geq [\gamma/2\eta]^{1/2}$ for any fixed $\operatorname{Re}(s) > 0$, for all $\operatorname{Im}(s) \in R$.
- (ii) $(\lambda_1) \rightarrow \infty$ as $\eta \downarrow 0$.
- (iii) $b - (\lambda_1^2 - a)^2 = -(\lambda_1^2 - a)(\lambda_1^2 - \lambda_3^2)$.
- (iv) $[b - (\lambda_1^2 - a)^2](\lambda_3^2 - a) = -b(\lambda_1^2 - \lambda_3^2)$.
- (v) $b - (\lambda_3^2 - a)^2 = (\lambda_3^2 - a)(\lambda_3^2)$.

Further, a little algebra shows that

- (vi) $\lambda_3 \rightarrow \sqrt{f(s)}$ as $\eta \downarrow 0$.
- (vii) $\eta(\lambda_1^2 - \lambda_3^2) \rightarrow s + \gamma$ as $\eta \downarrow 0$.

3. Resolvent consistency and rates. Using (i)–(vii) of §2, a few calculations show that

$$V_3 \rightarrow f(s)^{-1/2}M(s), \quad j_3^\eta(x, y, s) \rightarrow j(x, y, s), \quad k_3^\eta(x, y, s) \rightarrow k(x, y, s).$$

Further, (ii) of §2 shows that the (1, 1), (1, 2) and (2, 1) entries of V_1 go to zero as $\eta \downarrow 0$. Since $\|j_1^\eta(x, \cdot, s)\|$ and $\|k_1^\eta(x, \cdot, s)\|$ are bounded, the action of these components of the kernels J^η and K^η go to zero as $\eta \downarrow 0$. The (2, 2) entry of $k_1^\eta V_1$ is

$$-\eta^{-1} \lambda_1^{-1} (\lambda_1^2 - \lambda_3^2)^{-1} (\lambda_1^2 - a) k_1^\eta(x, y, s).$$

For $\eta > 0$, $\int_0^L \lambda_1 k_1^\eta(x, y, s) dy = 1 - [\cosh(\lambda_1 L)]^{-1} \cosh \lambda_1(L - x)$; the integral converges to 1 as $\eta \downarrow 0$ except at $x = 0$. Let Q be the difference between the (2, 2) entry of $k_1^\eta V_1$ and $v_0(x)[s + \gamma]^{-1}$. Then

$$\begin{aligned} Q &= \int_0^L [\lambda_1^{-1} (\lambda_1^2 - a)] [\eta^{-1} (\lambda_1^2 - \lambda_3^2)^{-1}] \cdot \lambda_1 \cdot k_1^\eta(x, y, s) v_0(y) dy \\ &\quad - \int_0^L \lambda_1 k_1^\eta(x, y, s) v_0(x) (s + \gamma)^{-1} dy \\ &\quad - [v_0(x) \cosh \lambda_1(L - x)] [(s + \gamma) \cosh \lambda_1 L]^{-1} \\ &= \int_0^L \lambda_1 k_1^\eta(x, y, s) [v_0(y) - v_0(x)] (s + \gamma)^{-1} dy + \delta(\eta, x) \end{aligned}$$

where

$$\begin{aligned} \delta(\eta, x) &= \left[\lambda_1^{-2} (\lambda_1^2 - a) (\eta^{-1} (\lambda_1^2 - \lambda_3^2)^{-1}) - (s + \gamma)^{-1} \right] \int_0^L \lambda_1 k_1^\eta(x, y, s) v_0(y) dy \\ &\quad - v_0(x) \cosh \lambda_1(L - x) (s + \gamma)^{-1} (\cosh \lambda_1 L)^{-1}. \end{aligned}$$

Since

$$\begin{aligned} |\delta(\eta, x)| &\leq |\lambda_1^{-2} (\lambda_1^2 - a) \eta^{-1} (\lambda_1^2 - \lambda_3^2)^{-1} - (s + \gamma)^{-1}| \cdot \|v_0\|_\infty \\ &\quad + \|v_0\|_\infty |s + \gamma|^{-1} |\cosh \lambda_1(L - x) (\cosh \lambda_1 L)^{-1}| \end{aligned}$$

we see that $\delta(\eta, x) \rightarrow 0$ uniformly in x as $\eta \downarrow 0$. (Recall that $v_0(x) = 0$ at $x = 0$.) Further,

$$\begin{aligned} &\lambda_1^{-2} (\lambda_1^2 - a) \eta^{-1} (\lambda_1^2 - \lambda_3^2)^{-1} - (s + \gamma)^{-1} \\ &= (\eta^{-1} (\lambda_1^2 - \lambda_3^2)^{-1} - (s + \gamma)^{-1}) - a \cdot \lambda_1^{-2} \eta^{-1} (\lambda_1^2 - \lambda_3^2)^{-1}. \end{aligned}$$

Since $\eta(\lambda_1^2 - \lambda_3^2) \rightarrow s + \gamma$ and (i) of §2 holds, the second term above goes to zero as $C\eta$ for $\eta \rightarrow 0$. Algebra shows that the first term equals

$$\eta(2(s + \alpha)(s + \gamma) + 4\sigma - \eta(s + \alpha)^2) ((s + \gamma)\eta(\lambda_1^2 - \lambda_3^2)(s + \gamma + \eta(\lambda_1^2 - \lambda_3^2)))^{-1}.$$

The denominator converges to $2(s + \gamma)^3$ while the numerator is bounded away from 0 and ∞ as $\eta \downarrow 0$. Hence the first term is proportional to η as η vanishes.

The quotient $\cosh \lambda_1(L - x) [\cosh(\lambda_1 L)]^{-1}$ has the value 1 at $x = 0$ and the value $(\cosh \lambda_1 L)^{-1}$ at $x = L$. Outside any neighborhood of zero, the quotient decays exponentially as $\eta \downarrow 0$. This, together with the fixed value of 1 at $x = 0$, shows us we have a “spine” at $x = 0$. However, for $v_0 \equiv 0$ in a neighborhood of $x = 0$ or for $|v_0(x)| \leq ke^{-b/x}$ for $x \downarrow 0$, the second term of $\delta(\eta, x)$ goes to zero exponentially as $\eta \downarrow 0$.

However, the integral

$$m(x) = \int_0^L \lambda_1 k_1^\eta(x, y, s) [v_0(y) - v_0(x)] dy$$

is more delicate. Let $\varepsilon > 0$ be given. Suppose $|x - y| \geq \varepsilon$. Since $0 \leq |k_1^\eta(x, y, s)| \leq 2e^{-\varepsilon\gamma/\sqrt{\eta}}$ for these x and y , we have that

$$\begin{aligned} |m(x)| &\leq \left| \int_{x-\varepsilon}^{x+\varepsilon} \lambda_1 k_1^\eta(x, y, s) dy \right| \cdot \sup_{|x-y| < \varepsilon} |v_0(y) - v_0(x)| + 4\|v_0\|_\infty e^{-\varepsilon\gamma/2\sqrt{\eta}} \\ &\leq 2 \sup_{|x-y| < \varepsilon} |v_0(y) - v_0(x)| + 4\|v_0\|_\infty e^{-\varepsilon\gamma/2\sqrt{\eta}}. \end{aligned}$$

For $v_0 \in C^0$, we get no decay rate. However, if $v_0 \in \text{Lip}(\zeta)$, $\zeta \in (0, 1]$, then $|m(x)| \leq 2C\varepsilon^\zeta + 4\|v_0\|_\infty e^{-\varepsilon\gamma/2\sqrt{\eta}}$. For $\varepsilon = \eta^r$, we have

$$|m(x)| \leq 2C\eta^{r\zeta} + 4\|v_0\|_\infty \exp(-\gamma\eta^{r-1/2})/2.$$

LEMMA 3.1. *Let $v_0 \in \text{Lip}(\zeta)$ for $0 \leq \zeta \leq 1$. Then Q goes to zero proportional to η^ω , for $\omega = r\zeta$, $0 < r < 1/2$, provided that $v_0(x) \rightarrow 0$ as $e^{-k/x}$ for $x \downarrow 0$.*

PROOF. Note that $\zeta = 0$ refers to uniform convergence without decay rate. ■

THEOREM 3.2. *The decay rates for the convergence of*

$$\int_0^L G^\eta(x, y, s)(u_0(y), v_0(y))^T dy \rightarrow \int_0^L G(x, y, s)(u_0(y), v_0(y))^T dy$$

and

$$\int_0^\infty J^\eta(x, y, s)(u_0(y), v_0(y))^T dy \rightarrow \int_0^\infty J(x, y, s)(u_0(y), v_0(y))^T dy$$

are as follows:

(i) *uniform convergence, if $v_0 \in C^0 - \text{Lip}(\zeta)$ for $\zeta > 0$ or v_0 does not go to zero exponentially as $x \downarrow 0$.*

(ii) $C_1\eta^{r\zeta}$ *if $v_0 \in \text{Lip}(\zeta)$, $0 < r < 1/2$, and $v_0(x) \rightarrow 0$ as $e^{-k/x}$ as $x \downarrow 0$.*

(iii) $C_2\eta$ *if $v_0 \equiv 0$.*

PROOF. A routine analysis of $k_3^\eta(x, y, s)V_3 \rightarrow f^{-1/2}(s)k(x, y, s)M(s)$ shows that the decay rate is $C_3\eta$. With $v_0 \equiv 0$, we have (iii). Lemma 3.1, coupled with (iii), yields (i) and (ii). For $L = \infty$, the proofs are isomorphic. ■

As in [1, 2], we consider HLFN as an operator equation

$$(3.1) \quad U_t^\eta = (A^\eta + B)U^\eta, \quad U^\eta(0) = U_0,$$

with solution $U^\eta(t) = T^\eta(t)U_0$. Taking Laplace transforms, we get

$$\bar{U}^\eta(s) = L[T^\eta(t)](s)U_0 = R(s, A^\eta + B)U_0.$$

The resolvent $R(s, A^\eta + B)$ we have represented by kernels for $\eta \geq 0$, $L \leq \infty$. Theorem 3.2 shows that $R(s, A^\eta + B) \rightarrow R(s, A + B)$ in the strong operator topology as $\eta \downarrow 0$ for $L \leq \infty$. That is, resolvent consistency is shown with the decay rates given above.

4. Linear semigroup convergence. The resolvent consistency shown in §3 implies semigroup consistency [4, Theorem 2.16]. Hence $T^\eta(t) \xrightarrow{s} T(t)$ in $C^0(0, L; R^2)$ uniformly in $t \in [0, T]$. Since $\operatorname{Re}(\sigma(A^{L,\eta} + B)) < -\delta = -\min(\alpha, \gamma)/2 < 0$ independent of $L \leq \infty$ and independent of $\eta \geq 0$, we have $\|T^\eta(t)\| \leq C \cdot e^{-\delta t}$. Hence $T^\eta(t) = \int_{c-i\infty}^{c+i\infty} e^{st} T(s, A^\eta + B) ds$ converges for $\operatorname{Re}(c) \geq -\delta$. Further,

$$\|(T^\eta(t) - T(t))U_0\| \leq \left| \int_{c-i\infty}^{c+i\infty} e^{st} ds \right| \cdot \|(R(s, A^\eta + B) - R(s, A + B))U_0\|.$$

Our earlier work then yields decay estimates for $\|(T^\eta(t) - T(t))U_0\|$ for $t > 0$.

5. Convergence of solutions of the FitzHugh-Nagumo equations. The Rauch-Smoller theory in [1, 2, 5, 6] gives a priori estimates for FN. We make the change variable $W = (w, z)^T = U - G = (u, v)^T - (g, h)^T$. Then FN becomes

$$(5.1) \quad D_t W = (DD_{xx} + B)W + K(t, w)$$

subject to

$$\begin{aligned} W(0, t) &= (0, 0)^T, & 0 < t < \infty, \\ D_x W(L, t) &= (0, 0), & L < \infty \text{ only}, 0 < t < \infty, \\ W(x, 0) &= U_0(x), & 0 \leq x \leq L, \end{aligned}$$

where $K(t, w) = BG - D_t G + ((w + g)^2(1 + \alpha - w - g), 0)^T$. The theory of Henry [2, 3], yields the equivalence of (5.1) to the integral equation

$$(5.2) \quad W^\eta(t) = T^\eta(t)W_0^\eta + \int_0^t T^\eta(t-s)K(s, W^\eta(s)) ds$$

or

$$(5.3) \quad W(t) = T(t)W_0 + \int_0^t T(t-s)K(s, W(s)) ds.$$

Let $Z(t) = W^\eta(t) - W(t)$; let $r(\eta)$ be a quantity that vanishes (with decay rates as in Theorem 3.2).

THEOREM 5.1. *There exist constants c_1, c_2, c_3 and $\delta > 0$ such that*

$$\|Z(t)\| \leq [c_1 \|Z_0\| + r(\eta)c_2 \exp(\delta t) \exp(c_3 T_1)]$$

for $t \in [0, T_1]$; the norm is that of $C^0(0, L; R^2)$, for $L \leq \infty$.

PROOF. Subtraction of (5.3) from (5.2) yields

$$\begin{aligned} Z(t) &= (T^\eta - T)(t)W_0^\eta + \int_0^t (T^\eta - T)(t-s)K(s, W^\eta(s)) ds \\ &\quad + T(t)Z_0 + \int_0^t T(t-s)[K(s, W^\eta(s)) - K(s, W(s))] ds. \end{aligned}$$

We estimate the last equation

$$\begin{aligned} \|Z(t)\| &\leq r(\eta) + t \cdot r(\eta) + C \cdot \exp(-\delta \tau) \|Z_0\| \\ &\quad + \int_0^t MC \cdot \exp(-\delta[t-s]) \|Z(s)\| ds \end{aligned}$$

as $\eta \downarrow 0$, where M is the Lipschitz constant for $K(s, W)$. The above inequality becomes

$$\begin{aligned} \|Z(t)\| \exp(\delta t) &\leq [1 + t]r(\eta) \exp(\delta t) + C\|Z_0\| \\ &\quad + \int_0^t CM \exp(\delta s) \|Z(s)\| ds. \end{aligned}$$

Applying Gronwall's inequality, we obtain

$$\begin{aligned} (5.4) \quad \|Z(t)\| &\leq [C\|Z_0\| + (1 + t)r(\eta) \exp(\delta t)] \exp[(MC - \delta)t] \\ &\leq [C \cdot \|Z_0\| + (1 + T_1)r(\eta) \exp(\delta t)] \exp[(MC - \delta)T_1] \end{aligned}$$

for $t \in [0, T_1]$. ■

COROLLARY 5.2. *If $\|Z_0\| = 0$, then $\|Z(t)\| \rightarrow 0$ as $\eta \downarrow 0$, uniformly in $t \in [0, T_1]$.*

PROOF. $\|Z_0\| = 0$ implies $r(\eta)$ is a multiplier of the entire right-hand side of (5.4). ■

REMARK. $W^\eta \rightarrow W$ implies $U^\eta \rightarrow U$ in $C(0, L; R^2)$, $L \leq \infty$, uniformly in $[0, T_1]$. Hence we have convergence of our original functions.

REMARK. The work of this paper connects the solutions of FN with $\eta = 0$ with those for $\eta > 0$. For "nice" initial conditions, decay rates are given. As remarked in [1, 2], the initial condition $U_0(x) = (0, 0)^T$ is a very fitting one, and certainly satisfied our definition of "nice" discussed above. Hence the best decay rate applies.

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